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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE REISSUE OF U.S. PATENT 5,983,225 :

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SERIAL NO: UNASSIGNED :

FILED: HERewith :

FOR: PARAMETERIZED LOCK MANAGEMENT SYSTEM AND METHOD FOR
CONDITIONAL CONFLICT SERIALIZABILITY OF TRANSACTIONS

STATEMENT OF SUPPORT FOR CLAIM AMENDMENTS
PURSUANT TO 37 CFR §1.121(b)(2)(iii)

Commissioner of Patents
Washington, DC 20231

SIR:

In accordance with the requirements of 37 CFR §1.121(b)(2)(iii), enclosed herewith is an explanation of the support in the disclosure of the patent for the claim amendments.

Before addressing any claim in general, it is to be noted that the majority of the claim amendments pertain to the recitation of the data structures illustrated in Figures 2 and 3.

While there have been a number of claim amendments and additional claims added, many of the features of the claims are repeated. Where limitations appearing in one claim are substantially the same as another claim, an explanation of similarity will be provided.

Independent Claim 1 has been amended to recite data structures illustrated in the embodiment of Figure 2. In the attached Appendix I entitled "Support for Claims," in the left margin in boldface text surrounded by brackets are reference numerals for the newly added features. These reference numerals correspond to Figure 2 and are generally described in the specification at col. 5, although other portions of the specification may support the added

limitations. The limitations added to independent Claims 4 and 7 are the same or similar as the limitations added to Claim 1, and find support for the same reasons as the limitations of Claim 1 are supported.

The amendment to Claim 9 is to correct a minor error related to the preamble and is an obvious error.

Support for the features recited in Claims 10-12 are explained at the left margin in boldface text in Appendix I.

Claims 13-15, and 16-18, correspond to added Claims 10-12 and are supported for the same reasons.

Added independent Claim 19 is similar to the original independent Claim 1 but includes details of added features related to the implementation of Figure 3. The limitations appearing in Claim 19 which do not appear in original Claim 1 are supported by the reference numerals appearing in boldface text at the left margin of the corresponding portion of Claim 19.

Added dependent Claims 20-22 recite further details of the implementation of Figure 3 and the basis for the support of these limitations is provided in Appendix I.

Claims 23 and 24 correspond to original Claims 2 and 3.

Added independent Claim 25 corresponds to original patent Claim 4 with implementation details similar to Claim 19. Similarly, added independent Claim 31 corresponds to original patent Claim 7 with the implementation details of Figure 3.

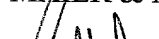
Dependent Claims 26-30 and 32-36 both correspond to Claims 20-24.

Claims 37-40 relate to the data structures illustrated in Figure 2 and support for these claims can be found with reference to Claims 1 and 10-12 of Appendix I.

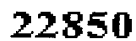
Added Claims 41-43 correspond to the data structures illustrated in Figure 3 and are

	1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100	2101	2102	2103	2104	2105	2106	2107	2108	2109	2110	2111	2112	2113	2114	2115	2116	2117	2118	2119	2120	2121	2122	2123	2124	2125	2126	2127	2128	2129	2130	2131	2132	2133	2134	2135	2136	2137	2138	2139	2140	2141	2142	2143	2144	2145	2146	2147	2148	2149	2150	2151	2152	2153	2154	2155	2156	2157	2158	2159	2160	2161	2162	2163	2164	2165	2166	2167	2168	2169	2170	2171	2172	2173	2174	2175	2176	2177	2178	2179	2180	2181	2182	2183	2184	2185	2186	2187	2188	2189	2190	2191	2192	2193	2194	2195	2196	2197	2198	2199	2200	2201	2202	2203	2204	2205	2206	2207	2208	2209	2210	2211	2212	2213	2214	2215	2216	2217	2218	2219	2220	2221	2222	2223	2224	2225	2226	2227	2228	2229	2230	2231	2232	2233	2234	2235	2236	2237	2238	2239	2240	2241	2242	2243	2244	2245	2246	2247	2248	2249	2250	2251	2252	2253	2254	2255	2256	2257	2258	2259	2260	2261	2262	2263	2264	2265	2266	2267	2268	2269	2270	2271	2272	2273	2274	2275	2276	2277	2278	2279	2280	2281	2282	2283	2284	2285	2286	2287	2288	2289	2290	2291	2292	2293	2294	2295	2296	2297	2298	2299	2300	2301	2302	2303	2304	2305	2306	2307	2308	2309	2310	2311	2312	2313	2314	2315	2316	2317	2318	2319	2320	2321	2322	2323	2324	2325	2326	2327	2328	2329	2330	2331	2332	2333	2334	2335	2336	2337	2338	2339	2340	2341	2342	2343	2344	2345	2346	2347	2348	2349	2350	2351	2352	2353	2354	2355	2356	2357	2358	2359	2360	2361	2362	2363	2364	2365	2366	2367	2368	2369	2370	2371	2372	2373	2374	2375	2376	2377	2378	2379	2380	2381	2382	2383	2384	2385	2386	2387	2388	2389	2390	2391	2392	2393	2394	2395	2396	2397	2398	2399	2400	2401	2402	2403	2404	2405	2406	2407	2408	2409	2410	2411	2412	2413	2414	2415	2416	2417	2418	2419	2420	2421	2422	2
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Respectfully submitted,

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APPENDIX I
SUPPORT FOR CLAIMS

1. A resource lock management system, comprising:

a lock data structure system which stores [storing] lock data representing granted and pending resource lock requests, wherein the data representing each granted and pending resource lock request includes: data indicating a resource to which access has been granted or requested, and an access mode associated with the resource lock request;

wherein a subset of the granted and pending resource lock requests are parameterized resource lock requests and the data representing each resource lock request in the subset further includes one or more parameter values indicating a data reliability classification associated with the resource lock request; and

a lock manager for evaluating, granting and denying resource lock requests, including determining when a resource lock request is unconditionally conflicting with any granted resource lock request, determining when the resource lock request is conditionally conflicting with any granted resource lock request, and evaluating the resource lock request with respect to each conditionally conflicting granted resource lock request by performing a predefined comparison of the parameter values for the resource lock request with the parameter values for each conditionally conflicting granted resource lock request,

wherein the lock data structure system includes:

[162-5]a first data structure which stores information of a pending or granted lock request, the first data structure including:

[181] a field which stores an access mode of a resource;

[184] a field which stores an identification of a transaction associated with the first data structure; and

[182] a field which stores parameters of a data reliability classification associated

with a pending or granted resource lock request;

[160-4] a second data structure which stores information of a lock, the second data structure including:

[170] a field which stores an identification of a lockable resource which corresponds to said data indicating a resource to which access has been granted or requested; and

[174] a field which references the first data structure.

10. A resource lock management system according to claim 1, wherein the lock data structure system further includes a third data structure, the third data structure including:

[154] a field which references the second data structure.

11. A resource management system according to claim 10, wherein:

the second data structure further includes:

[172] a field which stores aggregated read parameters of first data structures referenced by the second data structure; and

[173] a field which stores aggregated write parameters of first data structures referenced by the second data structure,

[col. 5, lns. 45-51] wherein the aggregated read and write parameters correspond to said one or more parameter values indicating a data reliability classification associated with the resource lock request.

12. A resource management system according to claim 11, wherein the second data structure further includes:

[171; col. 5, lns. 20-24] a field which stores an identification of a most restrictive access mode of the lockable resource and which corresponds to said access mode associated with the resource lock request.

19. A resource lock management system, comprising:

a lock data structure system which stores lock data representing granted and pending resource lock requests, wherein the data representing each granted and pending resource lock request includes: data indicating a resource to which access has been granted or requested, and an access mode associated with the resource lock request;

wherein a subset of the granted and pending resource lock requests are parameterized resource lock requests and the data representing each resource lock request in the subset further includes one or more parameter values indicating a data reliability classification associated with the resource lock request; and

a lock manager for evaluating, granting and denying resource lock requests, including determining when a resource lock request is unconditionally conflicting with any granted resource lock request, determining when the resource lock request is conditionally conflicting with any granted resource lock request, and evaluating the resource lock request with respect to each conditionally conflicting granted resource lock request by performing a predefined comparison of the parameter values for the resource lock request with the parameter values for each conditionally conflicting granted resource lock request,

wherein the lock data structure system includes:

[190-4] a first data structure which stores information of a lock, as well as the pending and granted requests thereof, the first data structure including:

[170] a field which stores an identification of a lockable resource which corresponds to said data indicating a resource to which access has been granted or requested; and;

[171] a field which stores an access mode of a resource which corresponds to said access mode associated with the resource lock request;

[172/173] a field which stores parameters of a data reliability classification associated with a resource lock request which corresponds to said one or more parameter values

indicating a data reliability classification associated with the resource lock request;

[152] a second data structure including:

[154] a field which references the first data structure.

[col. 5, Ins. 20-24] 20. A system according to claim 19, wherein the field which stores an access mode stores a most restrictive access mode of the granted lock requests.

21. A system according to claim 19, wherein the field which stores parameters comprises:

[172] a field which stores read parameters; and

[173] a field which stores write parameters.

22. A system according to 21, wherein:

[col. 6, Ins. 32-35] the read parameters are aggregated read parameters of granted read requests; and

[col. 6, Ins. 32-35] a write parameters are aggregated write parameters of granted write requests.